

07-26-00

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07/25/00
JC893 U.S. PTO

By Express Mail #EL262962313US

Attorney Docket No.: 4897-2

Check box if applicable: ☐ DUPLICATE

JC542 U.S. PTO
09/625702
07/25/00

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Dated: July 25, 2000

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Rex MACEY

For: System and Method for Incorporating Mortality Risk in an Investment Planning Model

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Title Page, Specification, Claims 1 to 53 & Abstract (65 pages [total number of pages of application])
- Executed Declaration and Power of Attorney (3 p.)
- 14 sheet(s) of drawing(s) (Figs. 1 to 4)
- Check for \$ 915 for filing fee
- Verified Statement to Establish Small Entity Status
- Information Disclosure Statement
- PTO Form 1449
- Return Receipt Postcard

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

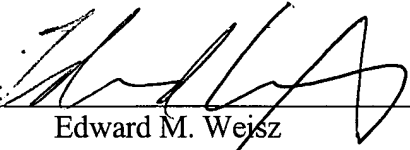
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16.

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- ☒ Any patent application processing fees under 37 CFR 1.17
 - ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
 - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- ☒ Priority is claimed for this invention and application, corresponding applications having been filed in **United States** on **July 30, 1999**, No. **60/146,532**, **United States** on **December 01, 1999**, No. **60/168,331**, respectively.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: 
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FILING FEE COMPUTATION SHEET

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BOX PATENT APPLICATION
Washington, DC 20231

Dated: July 25, 2000

In re Application of: Rex MACEY

For: System and Method for Incorporating Mortality Risk in an Investment Planning Model

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY		OTHER THAN SMALL ENTITY	
	# FILED	# EXTRA				
BASIC FEE			\$345			\$690
TOTAL CLAIMS	<u>53</u> - 20 =	<u>33</u>	x 9 =	\$ 297	x 18 =	\$
INDEPENDENT CLAIMS	<u>10</u> - 3 =	<u>7</u>	x 39 =	\$ 273	x 78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$130=	\$	+260=	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL:	\$915		\$

Attorney Docket # 4897-2

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Rex MACEY

Serial No.: n/a

Filed: concurrently

For: System and Method for
Incorporating Mortality Risk in an
Investment Planning Model

Check box if applicable:

☐ DUPLICATE

JC542 U.S. PTO
09/625702
07/25/00

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

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Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231


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- ☒ [X] Any filing fees required under 37 CFR §1.16.
- ☒ [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- ☒ [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

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